

SPECTRAL SEQUENCES

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1. INTRODUCTION

Let $F : \mathcal{A} \rightarrow \mathcal{B}$ and suppose $\mathcal{A}$ has enough injective objects so that F admits a right derived functor. Define the *cohomological dimension of F* to be

$$\mathrm{cd} F := \max\{\sup\{n \in \mathbb{Z}_{\geq 0} : R^n F(A) \neq 0 \text{ for some } A \in \mathcal{A}\}, 0\}.$$

Theorem 1.1. *Let*

$$\mathcal{A} \xrightarrow{F} \mathcal{B} \xrightarrow{G} \mathcal{C}$$

be a diagram of left exact functors between abelian categories, and suppose $\mathcal{A}$ and $\mathcal{B}$ admit enough injectives. Suppose further¹ that F sends injective objects to G -acyclic objects. Then

$$\mathrm{cd}(G \circ F) \leq \mathrm{cd} G + \mathrm{cd} F.$$

This follows from

Proposition 1.2. *Suppose the same hypotheses as in theorem 1.1.*

For each $n \in \mathbb{Z}_{\geq 0}$ and $A \in \mathcal{A}$, there is a subobject series of $R^n(G \circ F)(A)$ consisting of factors which are subquotients of $R^q G(R^p F(A))$ for $p + q = n$.

This will follow *by definition* from the statement

‘there is a convergent E_2 -spectral sequence $R^p G(R^q F(A)) \Rightarrow R^{p+q}(G \circ F)(A)$ ’

2. FILTRATION ON A CHAIN COMPLEX

We fix an abelian category $\mathcal{A}$. We first state our main theorem without mentioning spectral sequences by name:

Theorem 2.1. *Let $C^\bullet$ be a chain complex concentrated in nonnegative degree, and suppose we have a filtration $\{F^m C^\bullet\}_{m \leq 1}$ on $C^\bullet$ such that for each $n \geq 0$*

$$0 = F^1 C^n \subset F^0 C^n \subset \cdots \subset F^{-n+1} C^n \subset F^{-n} C^n = C^n.$$

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¹This hypothesis is satisfied if F is the left adjoint of some functor $\mathcal{B} \rightarrow \mathcal{A}$, in which case F sends injective objects to injective objects.

Then there is a collection of objects $\{E_r^{pq}\}_{r \geq 0, p, q}$ concentrated in $p, q \geq 0$ and morphisms $d_r^{pq} : E_r^{pq} \rightarrow E_r^{p+r, q-r+1}$ such that the obvious connected diagrams are chain complexes and E_{r+1}^{pq} is isomorphic the cohomology at d_r^{pq} with the properties such that

- for each $p, q \geq 0$, $E_0^{pq} = F^p C^q / F^{p+1} C^q$;
- for each $n \geq 0$, the stabilising objects $\{E_\infty^{p, n-p}\}_{0 \leq p \leq n}$ of the sequences $\{\{E_r^{p, n-p}\}_r\}_{0 \leq p \leq n}$ appear as the factors in the induced filtration

$$\{F^p H^n\}_{p \leq 1} \quad \text{where} \quad F^p H^n := \text{Im}(H^n(F^p C^\bullet) \rightarrow H^n(C^\bullet))$$

on $H^n(C^\bullet)$:

$$\begin{array}{ccc}
 & F^{-n} H^n & = H^n(C^\bullet) \\
 & \uparrow E_\infty^{n,0} & \\
 & F^{-n+1} H^n & \\
 & \uparrow E_\infty^{n-1,1} & \\
 & F^{-n+2} H^n & \\
 & \uparrow & \\
 & \vdots & \\
 & \uparrow & \\
 & F^0 H^n & \\
 & \uparrow E_\infty^{0,n} & \\
 & F^1 H^n & = 0
 \end{array}$$

Following Weibel we call a filtration of the type occuring in the hypothesis of the theorem *canonically bounded*. Abstractly, we call a collection of objects $\{E_r^{pq}\}_{r \geq 0, p, q}$ and morphisms $d_r^{pq} : E_r^{pq} \rightarrow E_r^{p+r, q-r+1}$ appearing in the above theorem a *first quadrant E_0 -spectral sequence*

The subdiagram of a spectral sequence consisting of objects and morphisms with a fixed $r \geq 0$ is called the *r th page*.

First quadrant E_0 -spectral sequences (of objects of $\mathcal{A}$) form a category, where we define a morphism in an obvious way.

Lemma 2.2 (Mapping lemma). *Let $f : \{E_r^{pq}\} \rightarrow \{E'_r{}^{pq}\}$ be a morphism of first quadrant E_0 -spectral sequences. If f induces an isomorphism of diagrams on the r th page, then f induces an isomorphism of diagrams on the s th page whenever $s \geq r$.*

Proof. Use the 5-lemma. □

For a first quadrant E_0 -spectral sequence $\{E_r^{pq}\}_{r \geq 0, p, q}$, and a family $\{A^n\}_{n \geq 0}$ of objects, we define:

$$E_0^{pq} \Rightarrow A^{p+q}$$

to mean that for each $n \geq 0$, there is a descending filtration of A^n with factors $E_\infty^{n,0}, E_\infty^{n-1,1}, \dots, E_\infty^{0,n}$. So we could have restated our theorem as follows:

Theorem 2.3. *Let $C^\bullet$ be a chain complex concentrated in nonnegative degree and suppose we have a canonically bounded filtration $\{F^n C^\bullet\}_{n \geq 0}$ on $C^\bullet$. Then there is a first quadrant E_0 -spectral sequence such that*

$$F^p C^q / F^{p-1} C^q \Rightarrow H^n(C^\bullet)$$

Exercise 2.4. Prove theorem 2.1 using Weibel.

[Hint: it may be easier to prove the version for homological spectral sequences to avoid reindexing; strictly speaking this is not the same as theorem 2.1; why?]

3. APPLICATIONS

Let $B^{\bullet\bullet}$ be a first quadrant bicomplex. We obtain two canonically bounded filtrations of $C^\bullet := \text{Tot } B^{\bullet\bullet}$

$${}_I F^{-1} : \quad 0^\bullet$$

$${}_I F^0 : \quad 0 \longrightarrow B^{00} \longrightarrow B^{01} \longrightarrow B^{02} \longrightarrow \dots$$

$${}_I F^1 : \quad 0 \longrightarrow B^{00} \longrightarrow B^{01} \oplus B^{10} \longrightarrow B^{02} \oplus B^{11} \longrightarrow \dots$$

$${}_I F^2 : \quad 0 \longrightarrow B^{00} \longrightarrow B^{01} \oplus B^{10} \longrightarrow B^{02} \oplus B^{11} \oplus B^{20} \longrightarrow \dots$$

$$\vdots$$

and

$$\begin{aligned}
{}_{{II}}F^{-1} : & \quad 0^\bullet \\
{}_{{II}}F^0 : & \quad 0 \longrightarrow B^{00} \longrightarrow B^{10} \longrightarrow B^{20} \longrightarrow \dots \\
{}_{{II}}F^1 : & \quad 0 \longrightarrow B^{00} \longrightarrow B^{01} \oplus B^{10} \longrightarrow B^{11} \oplus B^{20} \longrightarrow \dots \\
{}_{{II}}F^2 : & \quad 0 \longrightarrow B^{00} \longrightarrow B^{01} \oplus B^{10} \longrightarrow B^{02} \oplus B^{11} \oplus B^{20} \longrightarrow \dots \\
& \quad \vdots
\end{aligned}$$

and note that we have

$${}_IE_0^{pq} = {}_IF^p C^p / {}_IF^{p-1} C^p = B^{pq} = {}_{II}F^p C^p / {}_{II}F^{p-1} C^p = {}_{II}E_0^{pq}.$$

Proposition 3.1 (Cartan–Eilenberg resolutions). *Let $F : \mathcal{A} \rightarrow \mathcal{B}$ be a left exact functor of abelian categories and suppose $\mathcal{A}$ has enough injectives. Let $X^\bullet \in \text{Ch}^+(\mathcal{A})$. Then there exists a first quadrant bicomplex $J^{\bullet\bullet}$ of injective objects equipped with a morphism of chain complexes $X^\bullet \rightarrow J^{\bullet,0}$ which induces injective resolutions $X^p \rightarrow J^{p,\bullet}$ for each $p \geq 0$, such that the induced maps on cohomology $H^p(X^\bullet) \rightarrow H_{\text{horizontal}}^p(J^{\bullet\bullet})$ induce injective resolutions.*

Proof. Use the Horseshoe lemma and splice. $\square$

Corollary 3.2. *Suppose the same hypotheses as in theorem 1.1.*

There exists a first quadrant E_0 -spectral sequence $E_0^{pq} \Rightarrow R^{p+q}(G \circ F)(A)$ whose 2nd page is given by $E_2^{pq} = R^q G(R^p F(A))$.

Proof. Take an injective resolution $A \rightarrow I^\bullet$ and by proposition 3.1 let $J^{\bullet\bullet}$ be a Cartan–Eilenberg resolution of the G -acyclic complex $F(I^\bullet)$.

We now consider the two spectral sequences associated to the bicomplex $B^{\bullet\bullet} := G(J^{\bullet\bullet})$.

$\boxed{I}$ Consider the first quadrant E_0 -spectral sequence ${}_IE_0^{pq}$.

The columns of $B^{\bullet\bullet}$ are truncations of G applied to a bounded below exact sequence of G -acyclic objects:

$$\begin{array}{ccccc}
 & & & \text{exact} & \\
 & & & & \text{exact everywhere except} \\
 & & & & H^0(B^{p\bullet}) = GFIP \\
 \text{exact, } G\text{-acyclic objects,} & & & & \\
 \text{and bounded below} & & & & \\
 \\
 \begin{array}{c} \vdots \\ \uparrow \\ J^{p1} \\ \uparrow \\ J^{p0} \\ \uparrow \\ FI^p \\ \uparrow \\ 0 \end{array} & \xrightarrow[\sim]{\text{apply } G} & \begin{array}{c} \vdots \\ \uparrow \\ B^{p1} \\ \uparrow \\ B^{p0} \\ \uparrow \\ GFIP \\ \uparrow \\ 0 \end{array} & \xrightarrow[\sim]{\text{truncate}} & \begin{array}{c} \vdots \\ \uparrow \\ B^{p1} \\ \uparrow \\ B^{p0} \\ \uparrow \\ 0 \end{array}
 \end{array}$$

hence the 1st page is concentrated at $p = 0$ with terms $GFI^\bullet$, which by definition is $G \circ F$ applied to a truncated injective resolution of A . It is clear that this spectral sequence stabilises by the 1st page with

$${}_IE_0^{pq} \Rightarrow R^{p+q}(G \circ F)(A).$$

II Now consider the first quadrant E_0 -spectral sequence ${}_{II}E_0^{pq}$.

Consider the extended bicomplex of $J^{\bullet\bullet}$ with the morphism $FI^\bullet \rightarrow J^{\bullet 0}$

$$\begin{array}{c}
 \vdots \\
 \uparrow \\
 J^{\bullet 1} \\
 \uparrow \\
 J^{\bullet 0} \\
 \uparrow \\
 FI^\bullet \\
 \uparrow \\
 0
 \end{array}$$

Since each object in this diagram is G -acyclic, and the horizontal chain complexes are bounded below, taking cohomology commutes with respect to applying G ; this

gives us isomorphisms of chain complexes for each $p \geq 0$:

$$\begin{array}{ccc}
\vdots & & \vdots \\
\uparrow & & \uparrow \\
H^p(B^{\bullet 1}) & \xrightarrow{\sim} & GH^p(J^{\bullet 1}) \\
\uparrow & & \uparrow \\
H^p(B^{\bullet 0}) & \xrightarrow{\sim} & GH^p(J^{\bullet 0}) \\
\uparrow & & \uparrow \\
H^p(GFI^{\bullet}) & \xrightarrow{\sim} & GH^p(FI^{\bullet}) \\
\uparrow & & \uparrow \\
0 & & 0
\end{array}$$

It follows that the 2nd page of the spectral sequence is given by

$$E_2^{pq} = R^q G(R^p F(A)).$$

□

The statement of the previous theorem is a bit clunky. In general, if we have first quadrant E_0 -spectral sequence, $\{E_r^{pq}\}_{r \geq 0, p, q}$, we can consider the subdiagram $\{E_r^{pq}\}_{r \geq a, p, q}$ for any $a \geq 0$, which we call a first quadrant E_a -spectral sequence. We then write

$$E_a^{pq} \Rightarrow A^{p+q}$$

to mean there exists a first quadrant E_0 -spectral sequence with the same a th page (with the same a th differentials) as $\{E_a^{pq}\}_{p, q}$ such that $E_0^{pq} \Rightarrow A^{p+q}$. This way we have defined the symbol $\Rightarrow$ for each page of a first quadrant E_0 -spectral sequence.

We can restate the previous theorem:

Theorem 3.3 (Grothendieck spectral sequence). *Suppose the same hypotheses as in theorem 1.1.*

There is a first quadrant E_2 -spectral sequence

$$R^q G(R^p F(A)) \Rightarrow R^{p+q}(G \circ F)(A).$$

Obviously it is possible to define first quadrant E_a -spectral sequences with $a \geq 0$ independently of E_0 -spectral sequences, but for our purposes this wasn't necessary.